

# Deep Learning Methods for Solving Linear Inverse Problems: Research Directions and Paradigms

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## Abstract

The linear inverse problem is fundamental for the development of various scientific areas. Innumerable attempts have been carried out to solve different variants of the linear inverse problem in different applications. Nowadays, the rapid development of deep learning provides a fresh perspective for solving the linear inverse problem, which has various well-designed network architectures results in state-of-the-art performance in many applications. In this paper, we present a comprehensive survey of the recent progress in the development of deep learning for solving various linear inverse problems. We review how deep learning methods are used in solving different linear inverse problems, and explore the structured neural network architectures that incorporate knowledge used in traditional methods. Furthermore, we identify open challenges and potential future directions along this research line.

*Keywords:* Deep learning, Linear inverse problems, Neural networks

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## 1. Introduction

The study of the inverse problem begins early from the 20th century and is still attractive today. The inverse problem refers to using the results of actual

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observations to infer the values of the parameters that characterize the system  
 5 and to estimate data that are not easily directly observed.

The inverse problem exists in many applications. In geophysics, the inverse problem is solved to detect mineral deposits such as underground oil based on the observations of an acoustic wave which is sent from the surface of the earth. In medical imaging, the inverse problem is solved to reconstruct an image of  
 10 the internal structure of the human body based on the X-ray signal passing through the human body. In mechanical engineering, the inverse problem is solved to perform nondestructive testing by processing the scattered field on the surface, which avoids expensive and destructive evaluation. In imaging, the inverse problem is solved to recover images of high quality from the lossy image,  
 15 for example, image denoising and image super-resolution (SR).

Mathematically, the inverse problem can be described as the estimation of hidden parameters of the model  $\mathbf{m} \in \mathbb{R}^N$  from the observed data  $\mathbf{d} \in \mathbb{R}^M$ , where  $N$  (possibly infinite) is the number of model parameters and  $M$  is the dimension of observed data. A general description of the inverse problem is

$$\mathbf{d} = \mathcal{A}(\mathbf{m}), \quad (1)$$

where  $\mathcal{A}$  is the forward operator mapping the model space to the data space.

An inverse problem is well-posed if it satisfies the following three properties [1].

- Existence. For any data  $\mathbf{d}$ , there exists an  $\mathbf{m}$  that satisfies (1), which  
 20 means there exists a model that fits the observed data.
- Uniqueness. For every  $\mathbf{d}$ , if there are  $\mathbf{m}_1$  and  $\mathbf{m}_2$  that satisfy (1), then  $\mathbf{m}_1 = \mathbf{m}_2$ , which means the model that fits the observed data is unique.
- Stability.  $\mathcal{A}^{-1}$  is a continuous map, which means small changes in the observed data  $\mathbf{d}$  will make small changes in the estimated model parameters  
 25  $\mathbf{m}$ .

If any of the three properties does not hold, the inverse problem is ill-posed.

### 1.1. The Linear Inverse Problem

In linear inverse problems (LIPs), the forward operator  $\mathbf{A}$  in (1) is linear and can be written as a matrix  $\mathbf{A} \in \mathbb{R}^{M \times N}$ . When  $M = N$  and the matrix  $\mathbf{A}$  has a full rank, the solution of the LIP is unique and the model parameters are given by multiplying the matrix inverse  $\mathbf{A}^{-1}$  with the data  $\mathbf{d}$ . In the situation  $M > N$ , it becomes an over-determined problem that may have no solution. In situations where  $M < N$ , the LIP is undetermined. The solution of the undetermined LIP is not unique, which means this LIP is ill-posed. To solve the ill-posed problem, extra knowledge of the system model is usually needed, which is also known as prior information.

In the presence of noisy observed data  $\mathbf{d}$ , the LIP can be expressed as an optimization problem as following

$$\min_{\mathbf{m}} \|\mathbf{d} - \mathbf{A}\mathbf{m}\|_2^2 + J(\mathbf{m}), \quad (2)$$

where  $J(\cdot)$  incorporates the prior information. For example, the Tikhonov regularization is popularly used, where  $J(\mathbf{m}) = \|\mathbf{\Gamma}\mathbf{m}\|_2^2$  and  $\mathbf{\Gamma}$  represents the Tikhonov matrix (e.g.  $\mathbf{\Gamma} = \alpha\mathbf{I}$ ).

Based on the different prior information and the structure of the operator  $\mathbf{A}$ , the LIP can be classified into different categories [2]. In the following two subsections, we review LIPs that attract extensive interests in recent years.

### 1.2. LIPs With Various Parameterized Models

In this subsection, we introduce LIPs with various parameterized models, which correspond to different prior information.

#### 1.2.1. Sparse LIPs

In LIPs, one popular prior information is the sparsity of the parameters, which has been applied in communication systems [3, 4], sensor networks [5, 6] and many other applications [7, 8].

In sparse LIPs,  $\mathbf{m}$  is a sparse vector where only several elements of  $\mathbf{m}$  are non-zeros, and the prior information  $J(\cdot) = \alpha\|\mathbf{m}\|_0$ , where  $\alpha$  is some regularization parameter and  $\|\mathbf{m}\|_0$  denotes the  $\ell_0$  norm of the vector  $\mathbf{m}$  that counts the

number of non-zeros in  $\mathbf{m}$ . While the optimization problem in sparse LIPs has non-continuous objective function and is NP-hard, we usually resort to solve  
55 an alternative problem with a smoothed objective function [9]. The regularizer  $J(\cdot)$  is replaced by a sparsity-enforcing function, e.g., the  $\ell_1$  norm function  $J(\cdot) = \|\mathbf{m}\|_1$  and the log penalty function  $J(\cdot) = \sum_{i=1}^N \log(1 + m_i^2)$  in [10]. Under certain conditions on the matrix  $\mathbf{A}$  and the sparsity level of  $\mathbf{m}$ , the solution of the new optimization problem is equivalent to the original problem  
60 [11].

In addition to the sparse structure, real world signals exhibit many other structures, e.g., block-sparsity [12], group-sparsity [13], tree-sparsity [14] and others [15, 16], which can be exploited in solving  $\mathbf{m}$  from the observations  $\mathbf{d}$ . Considering the block-sparsity or the group-sparsity,  $\mathbf{m}$  can be written as  $\mathbf{m} =$   
65  $[\mathbf{m}_1; \mathbf{m}_2; \dots; \mathbf{m}_r]$  with  $\mathbf{m}_i \in \mathbb{R}^L (i = 1, \dots, r)$  for  $M = Lr$ , where only several of the  $\mathbf{m}_i$  are non-zeros vectors. For the tree-sparsity, the non-zeros cluster along the branches of the tree. That means, if a node is non-zero, then the other nodes that are on the branch from the root to the node are non-zeros. The tree-sparsity widely exists in the wavelet coefficients of nature signals and  
70 images.

Another popular structure exists in the multiple measurement vector (MMV) problem which is the extension of the basic sparse LIP. The hidden parameter is  $\mathbf{M} = [\mathbf{m}_1, \mathbf{m}_2, \dots, \mathbf{m}_L] \in \mathbb{R}^{N \times L}$ , and the measurements  $\mathbf{D} = [\mathbf{d}_1, \mathbf{d}_1, \dots, \mathbf{d}_L] \in \mathbb{R}^{M \times L}$ . In many MMV problems, columns of  $\mathbf{M}$  are considered to be jointly  
75 sparse [17]. The simplest MMV structure is row-sparsity where the non-zeros of each column share the same supports (Fig. 1(b)). There are various jointly sparse structures in MMV problems, some of which are illustrated in Fig. 1 [18]. More structures can be formed by combining the jointly sparse structure in the MMV and the structure in each vector, e.g., the forest sparsity [19] which  
80 combines the joint sparsity and the tree-sparsity.

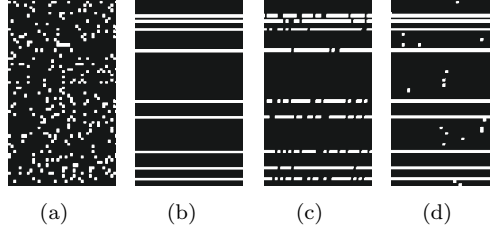


Figure 1: Various structured sparse models [18]. (a) sparsity, (b) row-sparsity (c) row-sparsity with embedded element-sparsity, (d) row-sparsity plus element-sparsity.

### 1.2.2. Low-rank LIPs

Low-rank matrix recovery is another rapid-developed research topic with broad applications, such as saliency detection [20], face recognition [21] and others [22, 23].

85 The low-rank matrix recovery aims to estimate a low-rank matrix  $\mathbf{M} \in \mathbb{R}^{N_1 \times N_2}$  from the observed data  $\mathbf{d}$ , which is obtained by using a linear operator  $\mathcal{A} : \mathbb{R}^{N_1 \times N_2} \rightarrow \mathbb{R}^M (M < N_1 N_2)$ . In low-rank matrix recovery problem, the prior information  $J(\cdot) = \alpha \cdot \text{rank}(\mathbf{M})$ , where  $\text{rank}(\cdot)$  denotes the matrix rank and  $\alpha$  denotes the regularization parameter. This optimization problem is also  
 90 NP-hard. Alternatively, under certain conditions on the linear mapping and the matrix rank, one can replace  $J(\cdot)$  with  $J(\cdot) = \alpha \|\mathbf{M}\|_*$ , where  $\|\cdot\|_*$  denotes the matrix nuclear norm that sum the singular values of the matrix. As the tightest convex relaxation of rank minimization, the nuclear norm minimization problem can be solved via various convex optimization algorithms [24].

95 In real-world signals, the low-rank structure can be combined with other structures. In simultaneously sparse and low-rank matrix reconstruction problem, which exists in sub-wavelength optical imaging, hyperspectral image unmixing, graph denoising, the matrix  $\mathbf{M}$  is simultaneously sparse and low-rank [25]. The corresponding regularizer  $J(\cdot) = \alpha \|\mathbf{M}\|_0 + \beta \cdot \text{rank}(\mathbf{M})$ , where  $\alpha$  and  
 100  $\beta$  are positive parameters that balance the sparsity, the matrix rank, and the data fitting term. A popular convex relaxation of this problem is to replace the  $\ell_0$  norm and rank function with the  $\ell_1$  norm and the nuclear norm, respectively. The sparse plus low-rank matrix reconstruction aims to recover a matrix  $\mathbf{M}$  which is the superposition of a low-rank matrix  $\mathbf{L}$  and a sparse matrix  
 105  $\mathbf{S}$ . This problem arises in applications such as network anomalous detection,

magnetic resonance imaging (MRI) and single voice extraction. An alternative optimization problem with convex relaxed terms can be used to facilitate algorithm development, e.g., the robust principal component analysis (RPCA) with an identity matrix as the mapping  $\mathcal{A}$  [26].

110 The low-rank structure also exists in tensor. Tensor is a higher dimensional generalization of the matrix that attracts great attention recent years. Low-rank tensor recovery aims to recover the low-rank tensor  $\mathcal{M} \in \mathbb{R}^{N_1 \times \dots \times N_n}$  from a limited number of observations, where  $\mathcal{A} : \mathbb{R}^{N_1 \times \dots \times N_n} \rightarrow \mathbb{R}^M$  (typically  $M \ll \prod_{i=1}^n N_i$ ). The corresponding prior information  $J(\cdot) = \text{rank}(\mathcal{M})$ , where  
 115  $\text{rank}(\mathcal{M})$  denotes some form of tensor rank. One popular approach is to use tensor nuclear norm  $\|\mathcal{M}\|_*$ , which is a convex combination of the nuclear norms of all matrices unfolded along different modes [27]. There also exists nonconvex method, for example, in [28], Chen et al. propose an empirical Bayes method that has state-of-the-art performance in sparse and low-rank matrix recovery.

### 120 1.3. LIPs With Different Structures of $\mathbf{A}$

In this subsection, we introduce the LIPs with various linear operators  $\mathbf{A}$ , which arises in different applications.

The linear operator  $\mathbf{A}$  is an identity matrix in denoising. In LIPs, the observed data may contain noise that comes from the measurement process, the  
 125 transmission process, the quantization and the compression process for storage. Imperfect instruments and interfering natural phenomena can also introduce noise. Denoising is the process of removing the noise from the observed data, which is an essential and important problem that can be found in astronomy, medical imaging and many other applications. Solving the inverse problem in  
 130 denoising is to remove the noise  $\mathbf{n}$  from the observed data  $\mathbf{d}$ . There are various types of noise in different applications. For example, images may be corrupted by gaussian noise, salt and pepper noise, speckle noise, Brownian noise and other [29]. Existing algorithms for denoising include non-local means [30], curvelet transform [31], statistical modeling [32], and nonlocal self-similarity  
 135 (NSS) models [33]. The NSS models are popular in advanced methods such as

BM3D [33], NCSR [34] and WNNM [35]. For blind denoising, the techniques based on dictionary learning and transform learning are popular [36, 37, 38, 39].

Image SR is another typical LIP where the linear operator  $\mathbf{A} = \mathbf{DBM}$  refers to the image acquisition process which contains a set of degradations that involve warping, blurring, down-sampling and noise [40]. Image SR aims to reconstruct a high-resolution (HR) image from a single low-resolution (LR) image or multiple LR images. Since the number of known parameters in LR images exceeds the number of unknown variables in HR images, image SR is an ill-posed LIP. Classic methods for image SR include edge-based methods [41], image statistical methods [42], sparse coding [43] and example-based methods [44].

Compressed sensing (CS) is a LIP whose linear operator  $\mathbf{A}$  has more columns than rows. CS is a sampling paradigm that breaks the Nyquist theory and can restore the entire desired signal from fewer measured values by using sparse signal characteristics. In CS, the linear operator  $\mathbf{A}$  has fewer rows than columns, i.e.,  $M < N$ , which leads to an underdetermined system. To reconstruct the signal  $\mathbf{m}$  from a reduced number of observations, the reconstructed signal  $\mathbf{m}$  is required to be sparse, or represented as a sparse vector under certain transformations, e.g., wavelet transform, Fourier transform and discrete cosine transform.

Feature Selection (FS) is a LIP whose linear operator  $\mathbf{A}$  has fewer columns than rows. FS is the process that finds features having the most contribution to our prediction or the output we are interested in. It is a useful tool to simplify models for interpretation, reduce overfitting and avoid the curse of dimensionality in machine learning and signal processing. FS has been applied in many applications such as text categorization, bioinformatics and data mining. One approach to conduct FS is to formulate the problem as a LIP. For example, to classify handwritten digits, each row of  $\mathbf{A}$  includes the feature coefficients of one data sample [45]. Since the number of data samples could be large, the linear operator  $\mathbf{A}$  has  $M > N$ . A key premise of FS is that the data contains redundant or irrelevant features, and thus removing those features does not result in loss of information in the prediction [46].

Dictionary learning denotes a LIP whose linear operator  $\mathbf{A}$  and its represen-

tation  $\mathbf{m}$  are learned from the observed data  $\mathbf{d}$ , which exists in many applications such as image classification[47], outliers detection [48], and distributed CS [49]. With the learned dictionary  $\mathbf{A}$ , the high-dimensional signal performs dimensionality reduction to remove redundant information generated in the sampling process. Generally, only some of the atoms in the dictionary are used to construct the sparse representation of the high-dimensional signal. Compared with the predefined dictionary, e.g., wavelets, the learned one would be more appropriate for the signals of the same ensemble and could lead to improved performance in various tasks, e.g., denoising and classification. We refer interested readers to [50] for more details on various dictionary learning methods including the probabilistic learning methods, the learning methods based on clustering or vector quantization, and the methods for learning dictionaries with a particular construction. While the traditional dictionary learning relies on the one level of the dictionary, the new deep dictionary learning (DDL), which combines the concept of dictionary learning and deep learning (DL), uses multiple layers of dictionaries to represent the signal [51]. The dictionary learning can also combine with other techniques, for example, Gong et al. propose a simultaneously sparse and low-rank tensor representation model to enhance the capability of dictionary learning for hyperspectral image denoising [52], and Xin et al. jointly optimize the sensing matrix and sparsifying dictionary for tensor CS [53].

## 2. DL and LIPs

In this section, we first illustrate the motivation and advantages of using DL in solving LIPs. Then, we summarize the earlier efforts of using DL in inverse problems and clarify the novelty of this review. Then, we briefly introduce the categorization of different methods in section 3.

### 2.1. Motivation and Advantages

As a long-standing problem, plenty of algorithms have been proposed in kinds of literature to solve LIPs, for example, in CS, under certain conditions



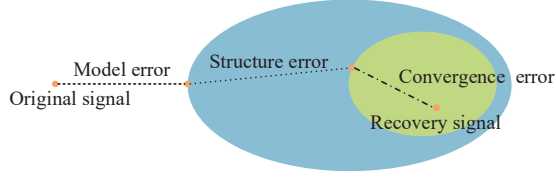


Figure 2: The decomposition of the error in the solution of inverse problems.

195 on the sensing matrix  $\mathbf{A}$ , e.g., the restricted isometry property (RIP) [54], the  
 LIP has a unique solution and can be solved with algorithms with relatively  
 low computational complexity, e.g., iterative hard thresholding [55], orthogonal  
 matching pursuit [56], message-passing algorithms [57] and the sparse Bayesian  
 learning based algorithms [58]. However, in applications, these conditions are  
 200 often unattainable.

In recent years, DL attracts wide attention as a promising approach to solve  
 the LIP. For example, by unfolding an iterative algorithm into a neural network  
 (NN), we can learn the parameters of iterative algorithms from training data,  
 which differs from traditional algorithms that employ predetermined param-  
 205 ters.

Using DL to solve inverse problems has several advantages. Firstly, in com-  
 parison to traditional iterative algorithms, DL can significantly increase the  
 speed of convergence. For example, Gregor and LeCun validate that the DL  
 based method is 10 times faster than the iterative coordinate descent method  
 210 with the same approximation error [59]. In addition, DL based methods are  
 capable to decrease the average recovery error. As shown in Fig. 2, the recovery  
 error of all algorithms results comes from several aspects. Imperfect modeling  
 of the problem leads to the model error, the approximation (e.g., using convex  
 relaxation) of the original objective function leads to the structure error, and  
 215 the sub-optimal solution of algorithms leads to the convergence error. Instead  
 of dealing with the imperfect mathematical models and approximated optimiza-  
 tion problems, the DL based method learns the mapping from the input to the  
 output directly and has the potential to overcome or relieve challenges brought  
 by the model error, the structure error and the convergence error in traditional  
 220 algorithms. The success of DL methods for inverse problems has been observed  
 in a number of works [60, 61, 62, 59, 63, 64, 65].

Table 1: The denoising results of real-world images.

Criterion	Method	DND	PolyU
PSNR	BM3D [66]	34.51	37.84
	KSVD [67]	36.49	36.3726
	MCWNNM [68]	37.38	35.2274
	TWSC [69]	37.94	36.4771
	<b>CBDNet [70]</b>	<b>38.06</b>	<b>37.00</b>
SSIM	BM3D	0.8507	0.9619
	KSVD	0.8978	0.9244
	MCWNNM	0.9294	0.9453
	TWSC	0.9403	0.9281
	<b>CBDNet</b>	<b>0.9421</b>	<b>0.9457</b>

Table 2: Test time for different methods on a single image denoising.

Methods	<b>CBDNet</b>	KSVD	BM3D	MCWNNM	TWSC
Time(s)	<b>0.0165</b>	0.8991	1.3575	298.21	391.47

To unveil the advantages of the DL based method in solving LIPs, we show the performance of the DL model and the state-of-the-art traditional algorithms in real-word image denoising. The results in DND [71] dataset come from the work of Guo et al. [70] and the results in PolyU [72] dataset is from our experiments. As shown in Table 1 and Table 2, the CBDNet outperforms most of traditional algorithms in both PSNR/SSIM and computing time. These simulations are conducted on a computer with a quad-core 4.2GHz CPU, 16 GB RAM, a GTX1080Ti GPU, and the Microsoft Windows 10 operating system.

## 2.2. Related Surveys and Categorization Methodology

Several remarkable works have compiled comprehensive reviews on using DL in inverse problems. However, existing reviews mainly focus on the application of imaging [73, 74, 75, 76, 77]. In [73], McCann et al. summarize the use of the convolutional NN (CNN) to solve imaging problems such as denoising, SR, and reconstruction. They focus on the design of the CNNs including the training data, the architecture, and the problem formulation. Lucas et al. also focus on imaging problems, but they summarize a wild range of NNs, including the multilayer perceptron (MLP), CNNs, autoencoders (AEs), and generative adversarial networks (GANs) [74]. In the recent work [77], Ongie et al. propose a taxonomy for DL in imaging according to the forward model and the learning process. Other reviews include the review of using DL for MRI image recon-

struction [76] and image SR [75], which are also focus on a special application of inverse problems. A survey for data-driven methods in inverse problems is given in [78], which aims to promote more theoretical research.

245 In this paper, we categorize the LIPs according to various parameterized models according to different prior information in the linear operator  $A$  and the data  $d$ , then we focus on the innovation of constructing a specified NN for various parameterized models, instead of considering the NN as a black box. We aim to provide a comprehensive review of state-of-the-art DL techniques in  
250 solving LIPs, not limited to imaging problems. Our hope is that this article can provide guidance for designing NNs for various LIPs. At last, we discuss the existing challenges and promising directions for further research, which are not all covered in literature.

In Fig. 3, we show the structure of section 3. Our taxonomy in section  
255 3 is according to the type of NNs, as the architecture of the NN is the most pivotal element of DL and determines whether the NN can effectively capture the deep features of the training data. We summarize the use of fully connected NNs (FNNs), CNNs, recurrent NNs (RNNs), AEs, and GANs in dealing with various LIPs, including CS, denoising, image SR, and others. In addition to the  
260 generic NN, we summarize various structured NN, which defines the NN that combines the prior information in various forms. Among the structured NN, the most famous one is the deep unfolding methods which unfold the iterations of an iterative inference method into layer-wise structure analogous to a NN [79]. In addition to the deep unfolding networks, we also consider the structured  
265 networks that get inspiration from the traditional analyzed-based methods. For example, the DDL combines the concept of DL and dictionary learning.

### 3. DL in Solving LIPs

In this section, we introduce how DL is exploited to handle LIPs in different applications and provide detailed instructions on the construction of the NN and  
270 the training process. Different settings in DL based methods are summarized

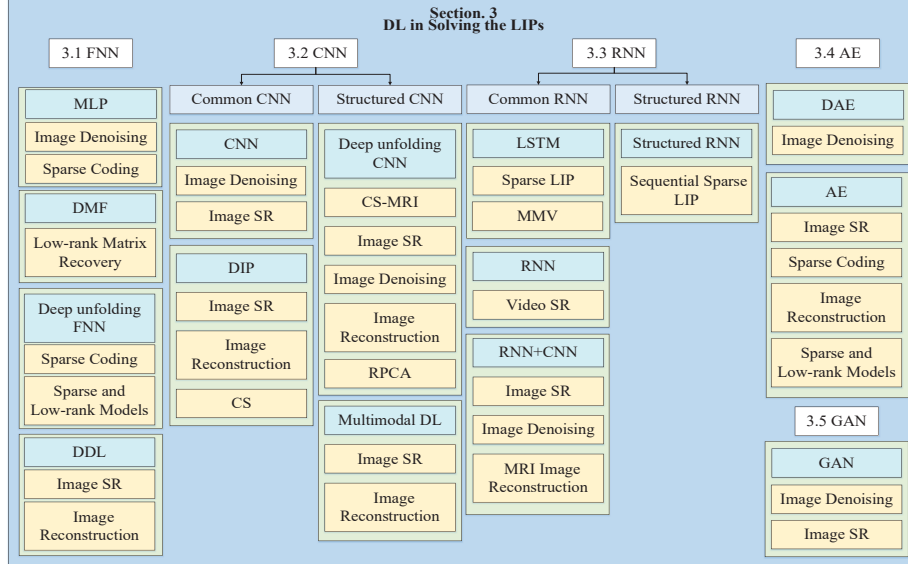


Figure 3: Schematic diagram of the structure of section 3.

in Table 3-Table 9, which include the input/output, loss function, learning rate, initialization and training algorithms. With these settings, we can easily train NNs using popular DL platforms such as Tensorflow [80] and PyTorch [81].

### 3.1. FNNs

275 The FNN, also known as MLP is one of the most basic structures in DL and a powerful tool in solving LIPs. In addition to the basic FNN, some modifications can be employed to enhance the performance, such as skip connections between layers [59], well-designed activation functions [63] and weight constrains [82]. Here we introduce common FNNs and structured FNNs related to LIPs. Various 280 FNNs for LIPs are summarized in Table 3.

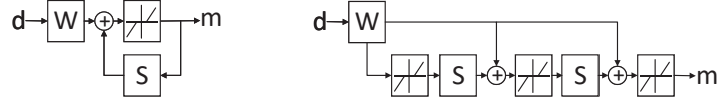
Perhaps the most straightforward DL based method for LIPs is the use of common FNNs. Especially for image denoising [60, 61] and sparse LIPs [62]. Considering that the ordinary MLP can approximate more functions than the CNNs, Burger et al. firstly apply an ordinary MLP for image denoising and 285 obtained competitive results compared to the classical BM3D [60]. To achieve the start-of-the-art performance, they adopt a large network that consists of sufficient parameters, a large patch size and large training set. The network is effective in noisy images which contain the additive white Gaussian noise.

Table 3: FNNs for LIPs.

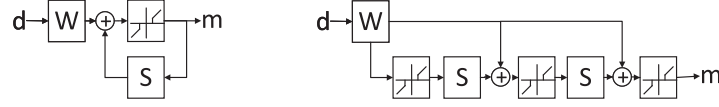
Ref.	Application	Input	Output	Loss Function	Initialization	Learning Rate	Optimizer
[60]	Image De-noising	Normalized overlapping patches	Clean patches	The quadratic error	Normal distribution	$0.1/N$ ( $N$ is the number of layer units)	SGD
[61]	Image De-noising	Pre-processed grey image and depth image	Denoised image	Proposed edge based weighted loss function	Not given	Not given	Not given
[62]	Sparse Coding	The observed signal	Non-zero probability	Softmax loss function	Follows [83]	0.01(reduce by 90 percent every 50 epoches)	SGD(momentum 0.9, weight decay $10^{-4}$ )
[59]	Sparse Coding	The observed signal	The recovered signal	The quadratic error	The weights given in (4)	Not given	SGD
[84]	Sparse Coding	The observed signal	The recovered signal	The quadratic error	The weights given in (4)	$10^{-4}$	Not given
[85]	Sparse Coding	The observed signal	The recovered signal	The quadratic error plus the L1 norm	Pre-trained network	Stage-wise	Not given
[86]	Sparse Coding	The observed signal	The recovered signal	The quadratic error	Identity matrix	Not given	Not given
[87]	Sparse Coding	The observed signal	The recovered signal	The quadratic error	Analytic weights(fixed)	$10^{-4}$ (exponentially decaying)	Not given
[88]	Sparse Coding	The observed signal	The recovered signal	The quadratic error	Follow ISTA(fixed)	Not given	Not given
[63]	Sparse Coding	The observed signal	The recovered signal	Softmax loss function	The weights in IHT	$10^{-2}$	SGD
[64]	Sparse Coding	The observed signal	The recovered signal	The quadratic error	The weights in AMP	Not given	ADAM
[65]	Sparse Coding	The observed signal	The recovered signal	The quadratic error	The weights in VAMP	Not given	ADAM
[89]	image de-noising	Noisy patches	Clean patches	The quadratic error	Kaiming Uniform	$10^{-4}$	SGD
[90]	Sparse Coding	The observed signal	The recovered signal	The quadratic error	Not given	$4 \times 10^{-2}$ to $8 \times 10^{-4}$	ADAM
[91]	Sparse Coding	The observed signal	The recovered signal	The quadratic error	Not given	Not given	ADAM

However, the accuracy of this method is sensitive to the mismatch of the noise distributions in the training data set and the testing data set. To against varying noise levels, Wang and Morel employ a linear mean shift before the denoising network to improves the robustness of the network [61]. To solve the sparse LIP, Xin et al. incorporate some powerful techniques such as batch normalization and residual connection into the FNNs, and uses the support of the vector as labels to train the network, which reduces the burden of the NN in solving the sparse inverse problem [62].

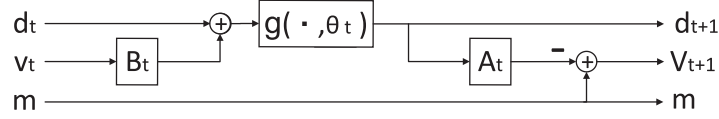
In addition to the image denoising and sparse LIPs, the FNN is also used in low-rank matrix recovery. One of the typical low-rank matrix recovery problems is the matrix completion problem where the matrix to be completed is assumed to be low-rank. In [92], Fan and Cheng propose a deep-structure NN named deep matrix factorization (DMF) for matrix completion, which is more computationally efficient than the nuclear norm and truncated nuclear norm related methods. In DMF, the input is low-dimensional unknown latent vari-



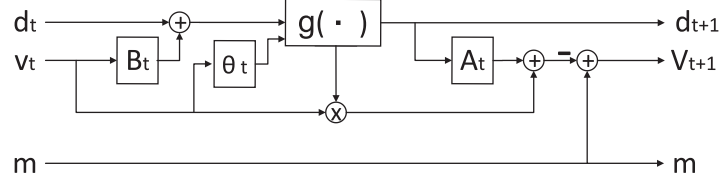
(a) Left: Block diagram of the ISTA. Right: The structure of the LISTA, uses a time-unfolded version of the ISTA block diagram of three iterations (The network can have arbitrary layers).



(b) Left: Block diagram of the IHT algorithm. Right: The time-unfolded version of the IHT algorithm. In the deep  $\ell_0$  encoder, the hard thresholding function is decomposed into two linear scaling operators plus a HELU.



(c) A different form of LISTA, with learnable parameters  $A_t, B_t$  and  $\theta_t$ .



(d) The structure of LAMP, with learnable parameters  $A_t, B_t$  and  $\theta_t$ .

Figure 4: Various deep unfolding FNNs for sparse LIPs.

ables and is jointly optimized with the parameters. The output of the network  
 305 is the incomplete low-rank matrix. The DMF aims to recover an incomplete  
 low-rank matrix by learning a nonlinear latent variable model. Exploring the  
 implicit regularization, Arora et al. prove that the deeper DMF can lead to  
 more accurate low-rank solutions [93].

FNNs can also benefit from the unfolding of traditional iterative algorithms,  
 which leads to deep unfolding FNNs [79]. Generally, the  $t$ -th iteration of an  
 iterative algorithm can be written as

$$\hat{\mathbf{m}}_{t+1} = g(\mathbf{W}\mathbf{d} + \mathbf{S}\hat{\mathbf{m}}_t), \quad (3)$$

where  $\mathbf{W}$  and  $\mathbf{S}$  are algorithm-dependent parameters, and  $g$  is a nonlinear func-  
 310 tion. In view of the fact that the update rule in (3) shares great similarities to  
 one layer of a FNN, various iterative algorithms are unfolded and transformed  
 into different deep unfolding FNNs for solving LIPs.

As the high computation time of traditional sparse coding methods fail to meet the requirement of real-time applications, Gregor and LeCun unfold the iterative shrinkage and thresholding algorithm (ISTA) [82], and propose a new network for fast sparse coding, namely learned ISTA (LISTA), which is shown in Fig. 4(a) [59]. The iterative steps of the ISTA is given by

$$\mathbf{v}_t = \mathbf{d} - \mathbf{A}\hat{\mathbf{m}}_t, \quad \hat{\mathbf{m}}_{t+1} = g_\theta(\hat{\mathbf{m}}_t + \mathbf{A}^T \mathbf{v}_t), \quad (4)$$

where  $\mathbf{v}_t$  is the residual error,  $g_\theta(x) = \text{sign}(x) \max\{|x| - \theta, 0\}$  is the element-wise soft-thresholding function and  $\theta$  is the shrinkage parameter. Equation (4) can be rewritten as (3) with the  $\mathbf{W}$  and  $\mathbf{S}$  given by

$$\mathbf{W} = \mathbf{A}^T, \quad \mathbf{S} = \mathbf{I} - \mathbf{A}^T \mathbf{A}. \quad (5)$$

The LISTA adopts the element-wise soft-thresholding function in the ISTA as the activation function and limits the parameters of all layers to share the same weight as the unfolded ISTA. Different from the hand-designed parameters in the ISTA, the parameters  $\mathbf{W}$ ,  $\mathbf{S}$ , and  $\theta$  in the LISTA are learned from the training data. The parameters in the ISTA (5) can be used as a good initialization for training the LISTA. To generate the label  $\hat{\mathbf{m}}_i$  in the training data, Gregor and LeCun use the Coordinate Descent (CoD) algorithm to solve the  $\ell_1$  norm minimization problem for each  $\mathbf{d}_i$ , which may not be the most sparse solution owing to the structure error as illustrated in Fig.2.

To improve the performance of LISTA, various variants of LISTA are proposed. In [84], Zhang et al. propose cascade LISTA and cascade learned CoD (LCoD), which are used to reconstruct the sparse signal and predict image sparse code. In cascade LISTA and cascade LCoD, several individual LISTA and LCoD are trained in parallel to decrease the accumulated error, and when test, those networks are in series. To obtain a linear convergence, Chen et al. introduce a partial weight coupling structure into the LISTA [85]. While LISTA is trained for a certain  $\mathbf{A}$ , it lacks scalability for various models. Even a small deviation in  $\mathbf{A}$  can deteriorate its performance. To this end, Aberdam et al. propose Ada-LISTA, which uses both signals and their dictionaries as inputs [86]. In Ada-LISTA, the input dictionaries are embedded into the network, and

two auxiliary learned matrices are used to wrap the dictionary. In addition to the learned weight matrix, the deep unfolding FNNs can also be designed to only learn the step-size and threshold parameters, for example, the Analytic LISTA (ALISTA) in [87], where the weight matrix is obtained from the analysis of corresponding optimization problem. In [88], Ablin et al. choose to only learn the step-size of LISTA, which is confirmed to be competitive in sufficiently sparse cases.

To avoid the structure error produced in generating the training data, Wang et al. propose the deep  $\ell_0$  encoder to solve the  $\ell_0$  norm minimization problem directly, where the label  $\tilde{\mathbf{m}}_{\mathbf{i}}$  is the original sparse signal [63]. The deep  $\ell_0$  encoder is obtained based on the unfolding of the iterative hard thresholding (IHT) [55] algorithm (Fig. 4(b)), which is similar to the ISTA except the nonlinear function. The nonlinear function in the IHT algorithm is the hard thresholding function  $g_\theta(x) = x \cdot \text{sign}(\max\{|x| - \theta, 0\})$  and  $\theta$  is the activation threshold. To update  $\theta$ , the authors decompose the original hard thresholding function  $g_\theta(x)$  into two linear scaling operators plus a hard thresholding linear unit (HELU)

$$\text{HELU}_\theta(x) = \begin{cases} 0 & |x/\theta| < 1 \\ x & |x/\theta| \geq 1 \end{cases}. \quad (6)$$

However, the HELU is a discontinuous function that destroys the universal approximation capability of the network and is hard to train. To this end, a novel continuous function  $\text{HELU}_\sigma$  is proposed, which is given in

$$\text{HELU}_\sigma(x) = \begin{cases} 0 & |x| \leq 1 - \sigma \\ \frac{x-1+\sigma}{\sigma} & 1 - \sigma < x < 1 \\ \frac{x+1-\sigma}{\sigma} & -1 < x < \sigma - 1 \\ x & |x| \geq 1 \end{cases}. \quad (7)$$

Obviously,  $\text{HELU}_\sigma$  is equivalent to the HELU in (6) when  $\sigma \rightarrow 0$ . At the beginning of the training,  $\sigma$  can be set as a small constant and then gradually decreased during the training phase. Besides, for the case with a known sparse level  $k$ , the HELU layer can be replaced by a max- $k$  pooling layer and a max- $k$  unpooling layer. Similar to the LISTA, the weights of the deep  $\ell_0$  encoder are learned and shared among layers.



Based on the approximate message passing (AMP) algorithm [57], a network that adopts the independent weights among layers is proposed by Borgerding and Schniter [64]. Compared with the ISTA (4), the residual error of the AMP algorithm depends on the  $t$ -th iterative and the  $(t - 1)$ -th iterative. The  $t$ -th iterative of the AMP algorithm is given by

$$\mathbf{v}_t = \mathbf{d} - \mathbf{A}\hat{\mathbf{m}}_t + b_t\mathbf{v}_{t-1}, \quad \hat{\mathbf{m}}_{t+1} = g_\theta(\hat{\mathbf{m}}_t + \mathbf{A}^T\mathbf{v}_t), \quad (8)$$

where  $b_t = \frac{1}{M}\|\hat{\mathbf{m}}_t\|_0$ ,  $\theta_t = \frac{\alpha}{M}\|\hat{\mathbf{v}}_t\|_2$  and  $\alpha$  is a tuning parameter. The difference of the LISTA and the learned AMP (LAMP) can be found in Fig. 4(c) and Fig. 4(d). In [65], Borgerding et al. further extend the vector AMP (VAMP) algorithm [94] into the learned VAMP (LVAMP) network. Compared with the LAMP network, the LVAMP network offers increased robustness to deviations  
350 of the matrix  $\mathbf{A}$  from i.i.d. Gaussian.

The deep unfolding method can also be used in low-rank models. In [95], Pu et al. design a specific deep unfolding network based on the alternating direction method of multipliers (ADMM) for sparse and low-rank matrices. In  
355 particular, to make the network differentiable and learnable, they use a non-linear activation function to replace the shrinkage operator in ADMM, and use the online RPCA for the low-rank term.

In addition to get inspiration from the unfolding the iterative algorithm which follows (3), the NN can be combined with traditional algorithms in other  
360 forms. By using a NN to perform each step of the traditional K-SVD algorithm, Scetbon et al. unfold the K-SVD into an end-to-end deep architecture and train it in a supervised manner [89]. The proposed scheme boosts the performance of the famous K-SVD denoising algorithm. By embedding the minimum mean squared error (MMSE) estimator into the NN, Ito et al. propose the trainable  
365 iterative soft thresholding algorithm (TISTA) [90], where the MMSE estimator is used as a shrinkage function to improves the speed of convergence. Similar to TISTA, Yao et al. combine the Steins unbiased risk estimate into the ISTA (SURE-TISTA) based network [91]. Both TISTA and SURE-TISTA use fewer learnable variables while achieving performance close to LAMP.

DDL is another type of structured FNN that combines the knowledge of traditional algorithms. It can be used in inverse problems such as image SR and image reconstruction [96, 97, 98, 99] and image SR [99]. While solving the inverse problems in imaging with DDL, Lewis D. et al. reform the entire inversion process with the variable splitting augmented Lagrangian approach, then segregate it into several subproblems, and solve all the variables jointly [96]. To reconstruct the multi-echo MRI with DDL, Singhal and Majumdar propose two variants of DDL, including the joint-sparse dictionary learning based DDL and low-rank based DDL [97]. In [98], they introduce the coupled dictionary learning technique into DDL, and propose a domain adaptation approach for different imaging tasks. For image SR, Huang and Dragotti design an  $L$ -layer FNN which includes  $L - 1$  analysis dictionaries and one synthesis dictionary [99]. The analysis dictionaries are used for feature extraction, and are learned in an unsupervised manner with the geometric analysis operator learning method. The synthesis dictionary is designed for image SR, and is learned in a supervised manner via an approach which is similar to ADMM.

### 3.2. CNNs

The CNN has effectively reduced the number of parameters by replacing the fully connected layers with the convolutional layers. CNN inspired by the biological visual cortex can capture the local similarity of images and thus is employed as a key technique in most image-related applications. Various CNNs for LIPs are summarized in Table 4.

#### 3.2.1. Common CNNs

For image denoising, FNNs introduced in the previous subsection require a predetermined input image size, while CNNs are more flexible for dealing with images with arbitrary sizes. In [100], Wang et al. propose a two-layer CNN, where they use the Relu activation function for the first layer and the sigmoid activation function for the second layer. Besides, under the inspiration of lateral inhibition in real neurons and computational neuroscience models, a novel local

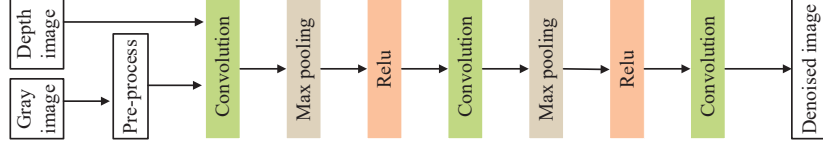
Table 4: CNNs for LIPs.

Ref.	Application	Input	Output	Loss Function	Initialization	Learning Rate	Optimizer
[100]	Image Denoising	Cropped noisy image	Denoised image	Mean squared error	Gaussian distribution	$10^{-3}$ for the final layer and $10^{-1}$ for other layers	SGD
[101]	Image Denoising	Sub-images	Denoised sub-images	Mean squared error	Orthogonal initialization	$10^{-3}$ to $10^{-4}$ (reduced when the training error stops decreasing)	ADAM
[102]	Depth Image Denoising	Pre-processing grey image and Depth image	Denoised images	Weighted Euclidean-based distance function	Not given	Not given	Not given
[103]	Hyperspectral Image Denoising	Noisy image	Denoised image	Mean squared error	Follows [104]	$10^{-3}$ to $10^{-5}$	ADAM
[105]	Hyperspectral Image Denoising	Noisy image	Denoised image	The mean squared error	Not given	$10^{-2}$	ADAM
[106]	Medical Image Denoising	Noisy image	Denoised image	Perceptual losses and squared Euclidean distance	Follows [104]	$10^{-1}$ (decreased by ten times after every ten epochs)	ADAM
[107]	Medical Image Denoising	Noisy image	Denoised image	Mean squared error	Gaussian distribution	$10^{-2}$ to $10^{-4}$ (decay exponentially every 50 epochs)	ADAM
[108]	Image Denoising	Noisy image	Residual image	Averaged mean squared error	Follows [83]	$10^{-1}$ to $10^{-4}$ (decay exponentially every 50 epochs)	SGD(momentum 0.9, weight decay $10^{-4}$ )
[109]	Image Denoising	Noisy image	Residual image	The L2 loss function	Follows [83]	$10^{-3}$ to $10^{-4}$ (reduced after 30 out of 40 epochs)	SGD(momentum 0.9)
[110]	Image Denoising	Noisy image	Residual image	Huber loss [111]	Not given	$5 \times 10^{-4}$ to $5 \times 10^{-5}$	ADAM
[112]	Image SR	Interpolated LR subimage	HR subimage	Mean squared error	Gaussian distribution with zero mean and standard deviation $10^{-3}$	$10^{-4}$ for the first two layers, and $10^{-5}$ for the last layers.	SGD
[113]	Image and Video SR	LR subimage	HR subimage	Mean squared error	Not given	$10^{-2}$ to $10^{-4}$ (reduced when the change of training error is smaller than a threshold)	Not given
[114]	Image SR	LR subimage	HR subimage	Mean squared error	Not given	$10^{-3}$ for the convolution layers, and $10^{-4}$ for the deconvolution layer.	Not given
[115]	Image SR	LR subimage	HR subimage	Charbonnier penalty function	Follows [83]	$10^{-5}$	ADAM (momentum 0.9, weight decay $10^{-4}$ )
[116]	Image SR	LR image	HR image	Mean squared error	Follows [83]	$10^{-4}$ to $10^{-6}$ (decreased by a factor of 10 for every $5 \times 10^5$ iterations.)	ADAM (momentum 0.9, weight decay $10^{-4}$ )
[117]	Image SR	LR image	HR image	Mean squared error	Not given	$10^{-1}$	Not given
[118]	Image SR	LR image patch	HR image patch	The L1 loss	Pretrained network	$10^{-4}$ (halved at every $2 \times 10^{-5}$ minibatch updates.)	ADAM
[119]	Image SR	LR image patch	HR image patch	Mean squared error	Follows [83]	$10^{-4}$ to $10^{-1}$ (decreased to half every 10 epochs.)	The adjustable gradient clipping [117]
[120]	Image SR	LR image patch	HR image patch	Mean squared error	Not given	$10^{-3}$ to $10^{-5}$	ADAM
[121]	Image SR	LR image	HR image	The L1 loss	Not given	$10^{-3}$ to $10^{-6}$	ADAM
[122]	CS MRI	Sampling data in k-space	Reconstructed MR image	Normalized mean square error	Based on ADMM	Not given	L-BFGS
[123]	Image CS	CS measurement	Image block	Self designed	Based on the linear mapping matrix	$10^{-4}$	ADAM
[124]	Image SR	Interpolated LR subimage	HR image	Mean squared error	Gaussian distribution with zero mean and standard deviation 0.001	$10^{-4}$ for the first two layers, and $10^{-5}$ for the last layers	SGD
[125]	Image SR	Interpolated LR subimage	HR image	Mean squared error	Harr-like gradient filters and uniform weights	Not given	SGD
[126]	Image Denoising	Noisy image	Clean image	Corresponds to the negative peak signal-to-noise-ratio (PSNR)	Learned parameters following a greedy-training strategy	Not given	L-BFGS

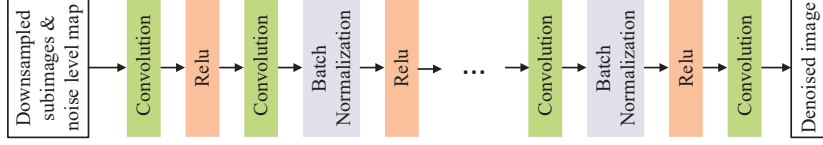
response normalization is employed after the output of ReLU, which leads to  
 400 the local competition and enhances the feature of gray images. For real-world  
 images where the noise is signal-dependent, non-Gaussian and spatially variant,  
 a fast and flexible denoising CNN (FFDNet) is proposed [101]. To handle the  
 varying noise level in the FFDNet, the noise level  $\sigma$  is first extended to a noise  
 level map. The noise level map is then concatenated with the down sampled  
 405 sub-images to form a tensor that is used as the input of the network (Fig. 5(b)).

Various CNNs are designed for different denoising applications. Zhang et  
 al. extend the CNN to depth image denoising and propose a denoising and  
 enhancement CNN (DE-CNN) [102]. In the DE-CNN, the input of the net-  
 work contains both the depth image and pre-processed gray image, as shown in  
 410 Fig. 5(a). The authors also propose a novel edge based weighted loss function  
 and a data augmentation strategy that expands useful depth images. For hyper-  
 spectral image denoising, Chang et al. use the CNN to extract the spectral and  
 the spatial information, where spectral correlation is depicted by the multiple  
 channels [103]. In [105], Yuan et al. use the spatial and spectral information  
 415 as input. They capture and fuse multiscale spatial-spectral feature for the final  
 restoration. For medical image denoising, Panda et al. propose a wide residual  
 CNNs for medical image denoising [106]. In order to solve the problem that the  
 use of squared Euclidean distance will lead to over-smoothed image, they com-  
 bine the perceptual loss and squared Euclidean distance for training, which is  
 420 confirmed to be helpful in keeping structural or anatomical details. Wang et al.  
 design a local receptive field smoothing network which remains the smoothing  
 properties of the receptive field by weighting their local neighborhoods [107].

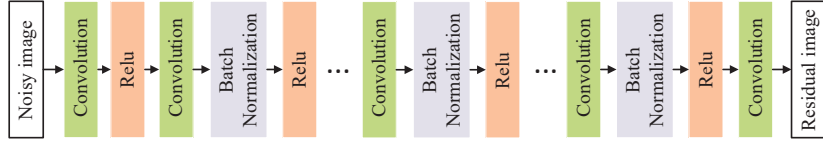
Instead of expecting the clean image as network output, Zhang et al. pro-  
 pose a denoising CNN (DnCNN) that outputs the residual between a clean  
 425 image and a noisy image [108]. By using residual learning, the network is able  
 to handle unknown noise levels and can be also transferred to other tasks such  
 as single image SR and image deblocking. Wang et al. further combine the  
 dilated convolution [127] with residual learning to improve computational effi-  
 ciency and enlarge the receptive field [109]. In [110], Su et al. propose a deep



(a) The network structure of the DE-CNN.



(b) The network structure of the FFDNet.



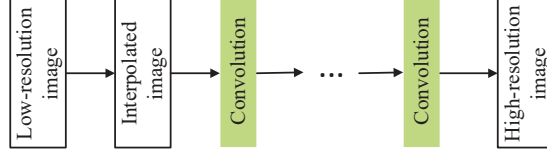
(c) The network structure of the DnCNN.

Figure 5: Common CNNs for image denoising.  
Table 5: The SR results: average PSNR/SSIM for scale factors 2 and 4.

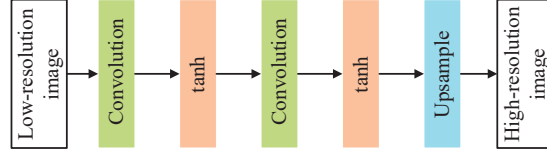
Algorithm	Scale	PSNR(Set5)	SSIM(Set5)	PSNR(Set14)	SSIM(Set14)
Bicubic	2	33.66	0.93	30.32	0.87
SRCNN	2	36.65	0.95	32.42	0.91
FSRCNN	2	37.00	0.96	32.65	0.91
ESPCN	2	37.26	0.95	32.88	0.91
LapSRN	2	37.52	0.96	33.08	0.91
D-DBPN	2	<b>38.09</b>	<b>0.96</b>	<b>33.85</b>	<b>0.92</b>
Bicubic	4	28.42	0.81	26.00	0.70
SRCNN	4	30.49	0.86	27.50	0.75
FSRCNN	4	30.72	0.86	27.62	0.76
ESPCN	4	30.90	0.86	27.73	0.76
LapSRN	4	31.54	0.88	28.19	0.77
D-DBPN	4	<b>32.47</b>	<b>0.89</b>	<b>28.82</b>	<b>0.78</b>

430 multi-scale cross-path concatenation residual network (MC<sup>2</sup>RNet) for Poisson  
denoising, where they use cross-path concatenation and the skip connection to  
obtain multi-scale context representations of images.

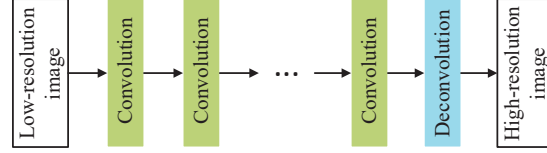
Different with image denoising, in image SR, the dimension of the output is  
higher than the input. To explore the information in different dimension space,  
435 various network architectures are designed. In super-resolution convolutional  
neural network (SRCNN) [112], the input of the network is an interpolated LR  
image (Fig. 6(a)). The SRCNN uses a relatively large filter size to utilize the  
information from more pixels and simultaneously processes multiple channels,  
which leads to superior performance in comparison to traditional example-based



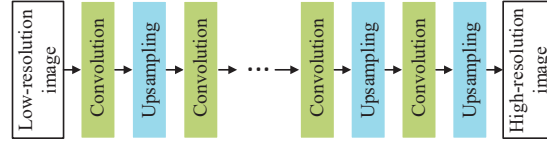
(a) The structure of the SRCNN.



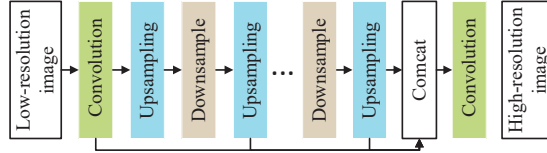
(b) The structure of the ESPCN.



(c) The structure of the FSRCNN.



(d) The structure of the LapSRN.



(e) The structure of the DBPN.

Figure 6: Common CNNs for image SR.

approaches. Considering that the SRCNN is sub-optimal and computationally  
 inefficient owing to the use of the interpolated image as input, more efficient  
 networks such as efficient sub-pixel CNN (ESPCN) [113] and fast SRCNN (FS-  
 RCNN) are proposed [114]. Both ESPCN and FSRCNN use LR image as input  
 and perform the upsampling in the last layer. The last layer of ESPCN is a sub-  
 pixel convolution layer, which firstly generates multiple feature maps and then  
 conducts a periodic shuffling to the pixels to produce the final HR image. The  
 last layer of FSRCNN is a deconvolution layer, and FSRCNN uses smaller filter  
 sizes and specially designed shrinking layer to accelerate the network. While  
 the ESPCN and FSRCNN get the HR image in the last layer, Lai et al. pro-  
 pose Laplacian pyramid SR network (LapSRN) which progressively increases  
 the dimension of the output of each layer (Fig. 6(d)) [115]. The deep back-  
 projection network (DBPN) which uses the iterative up- and down-sampling  
 layers to explore the mutual dependencies of LR images and HR images, as  
 shown in Fig. 6(e) [116]. Each pair of sampling layers represents a type of the  
 degradation and corresponding components. Furthermore, Haris et al. propose  
 the dense DBPN (D-DBPN), which adds skip connections to allow the concate-  
 nation of features between layers. It is observed the dense DBPN can further  
 improve the performance of the SR, especially in large scaling factors. In Ta-  
 ble 5 and Table 6, we compare the performance of different CNNs for image  
 SR in datasets Set5 [128] and Set14 [129], and compare the different CNNs for  
 image SR. Compared with SRCNN, FSRCNN is deeper, but uses less filters  
 and smaller filter sizes. Thus, the FSRCNN has fewer parameters and is faster  
 ( $41.3\times$ ) without performance degradation. ESPCN uses the same filter sizes as  
 SRCNN, but decreases the number of filters and extracts the features in the LR  
 space to reduce the computational complexity and obtain the real-time speed.  
 Compared with the previous networks, LapSRN is much deeper (27 layers) and  
 uses the residual learning to assist the training. Charbonnier loss function used  
 in LapSRN has a higher gradient magnitude than the  $\ell_2$  loss and decreases the  
 ringing artifacts. For D-DBPN, the network has a depth up to 48 layers and  
 uses smaller filter sizes than the SRCNN, FSRCNN and LapSRN. Even with a

Table 6: Comparisons among various CNNs for SR.

network	Parameters	Training data	Loss function	Network
SRCNN	57k	ImageNet subset (over 5 million sub-images)	Mean squared error	Conv(9,64,1)-Conv(5,32,64)-Conv(5,1,32)
FSRCNN	12k	General-100 dataset and 91-image dataset (19 times more images after data augmentation)	Mean squared error	Conv(5,56,1)-Conv(1,12,56)-4Conv(3,12,12)-Conv(1,56,12)-Conv(9,1,56)
ESPCN	20k	91-image dataset and ImageNet subset	Mean squared error	Conv(5,64,1)-Conv(3,32,64)-Conv(3,1,32)
LapSRN	812k	Berkeley segmentation dataset and 91-image dataset	Charbonnier penalty function	Conv(3,64,3)-2(10Conv(3,64,64)-Conv(3,256,64)-Conv(3,3,64)-Conv(3,12,3))
DBPN	10M	DIV2K and Flickr and ImageNet subset	Mean squared error	Conv(256,3,3)-Conv(32,1,1)-7(Conv(32,2,2)-Conv(32,6,6)-Conv(32,2,2)-Conv(32,6,6))-Conv(32,2,2)-Conv(32,6,6)-Conv(32,2,2)-Conv(3,3,3)

shallow depth (18 layers), the DBPN outperforms the LapSRN (31.54 dB) with 0.05 dB.

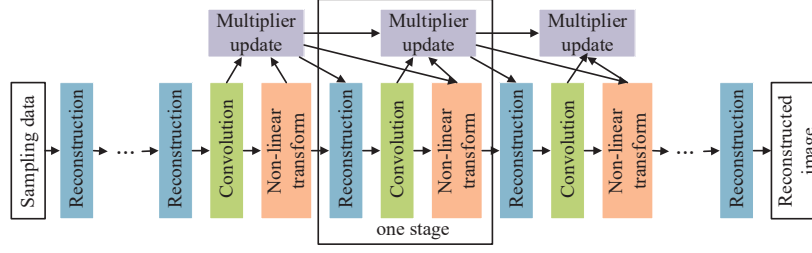
In addition to the different methods for increasing dimensions, we also explore the design of CNNs for SR. The simplest method is to find inspiration from famous networks that have obtained success in other tasks. For example, the very deep convolutional networks (VDSR) [117] is inspired by VGG-net. In VDSR, Kim et al. boost the performance by directly increasing the network depth, which usually leads to training difficulties. Thus, they propose to increase the learning rate and learn residuals only to prevent training difficulties. Similar to VDSR, Lim et al. design their enhanced deep super-resolution network (EDSR) according to famous network ResNet [118]. Instead of increasing the network depth, they cut down the unnecessary batch normalization layers in residual blocks, which also improves the performance. Other interesting networks contain the deep recursive residual network (DRRN) [119], and residual dense network (RDN) [130]. The multi-scale deep super-resolution system (MDSR) consider to solve the SR with different upscaling factors in a single model [118]. They use several parallel layers on the front and back of the network, and several shared layers in the middle of the network. In [120], Zhang et



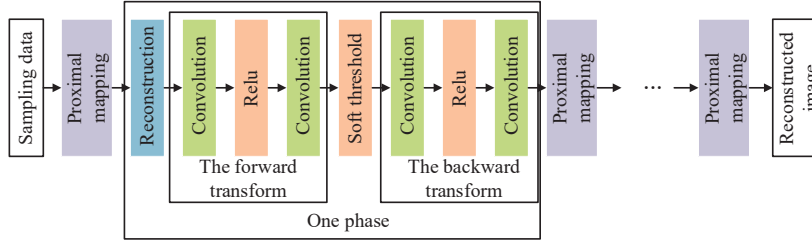
al. consider the problem that the LR images may contain multiple degradations.

490 They propose a dimensionality stretching strategy to enable the blur kernel and noise level as input. For the mismatch problem between the training data and real SR situations, Shocher et al. design a zero-shot SR (ZSSR), which relies on the input image itself to train the network [121].

While it is generally assumed that the success of previous networks relies on  
495 a large amount of training data, Ulyanov et al. show the contrary conclusion that the structure of the network is natural to capture the image statistics prior with a deep image prior (DIP) [131]. They apply the DIP in single image SR by using the structure of a randomly-initialized CNN as image prior to upsample an image without learning. Sidorov and Hardeberg further apply the DIP to  
500 hyperspectral imaging and 3D-convolutional networks [132]. Besides, the DIP is also popular in medical image reconstruction [133, 134], where a large amount of training pairs is not always feasible. In [135], Van Veen et al. propose the DIP for compressed sensing (CS-DIP). To overcome the overfitting of DIP, they propose to regularize the weights of the network during the optimization pro-  
505 cess. In [136], Ren et al. further use the DIP for CS problems where the sparse dictionary is uncertain, and the proposed computational intelligent CS algorithm is used for soil PH measurement. DIP can also be combined with other techniques, for example, the combination of DIP and traditional total variation regularization for image denoising [137] and high dynamic range imaging [138].  
510 There is also some progress on the theoretical aspects of DIP. For the linear CS problem and nonlinear compressive phase retrieval problem, Jagatap and Hegde prove that compared with the hand-designed priors, the DIP can achieve better compression rates under the same image quality [139]. Different mathematical interpretations of DIP are shown by Dittmer et al. in [140], Dittmer et al. intro-  
515 duce the idea of viewing the DIP as the optimization of Tikhonov functionals. In [141], Heckel and Soltanolkotabi show the self-regularizing property of DIP and prove that sufficiently structured signals and images can be approximately reconstructed by the untrained CNN.



(a) The network structure of the ADMM-Net.



(b) The network structure of the ISTA-Net.

Figure 7: Deep unfolding CNNs for image CS.

### 3.2.2. Structured CNNs

Akin to the structured FNNs, structures in traditional algorithms can also be employed in the design of structured CNNs.

One example of the deep unfolding networks is the application of image reconstruction. Following in the iterative procedure of the ADMM algorithm, Yang et al. construct a CNN based ADMM-Net for CS-MRI, where each layer represents a subproblem in the ADMM optimization problem (Fig. 7(a)) [122]. Especially, in the ADMM-Net, all the parameters are learned, including the transforms, penalty parameters and shrinkage functions. Furthermore, in [142], they redesign the ADMM algorithm and unfold it to the more powerful ADMM-CSNet. Another deep unfolding CNN is the ISTA-Net, which is also designed for CS imaging. Similar to the ADMM-Net, the parameters in the ISTA-Net are all learned [123]. The ISTA-Net contains several phases, each of which represents an iteration of the ISTA (Fig. 7(b)). Each phase of the ISTA-Net includes a forward transform and a symmetric backward transform, where the forward transform is used to replace the hand-crafted sparse transform of the original image in the ISTA, and the backward transform is designed to exhibit a structure symmetric to that of the forward transform. The AMP algorithm can also be

used for image denoising, which leads to the denoising AMP (D-AMP) algorithm [143]. By unfolding the D-AMP algorithms, Metzler et al. design their learned D-AMP (LDAP) [144], which can be used to recovery image from different measurement matrices. In LDAP, DnCNN is embedded into the network as a denoiser. Following the deep unfolding principle, Solomon et al. unfold the low-rank plus sparse ISTA to solve the RPCA problem [145] more efficiently. Instead of using a fully-connected layer for matrix multiplications, they use the convolutional layers to reduce the number of parameters. The proposed convolutional robust principal component analysis (CORONA) is further used in SR ultrasound to remove the clutter signal.

Another example is the application of image SR. Most related work derives the network with the consideration of sparse coding methods [146, 147]. Dong et al. use linear transforms to project image patches onto a dictionary and replace the sparse coding solver with a nonlinear transform (Fig. 8(a)) [124]. Liu et al. propose the sparse coding based network (SCN) (Fig. 8(b)), which consists of a patch extraction layer, a LISTA sub-network for sparse coding, an HR patch recovery layer, and a patch combination layer [148]. In the SCN, the LISTA sub-network is employed to enforce the sparsity of the representation. In addition, the authors propose a cascade of SCNs (CSCNs) (Fig. 8(c)) so that the network can be extended to deal with different scaling factors. In the practical scene where the LR images suffer from various types of corruption, Liu et al. fine-tune the learned SCN with a small amount of training data to adapt the model to the new scenario [148].

Structured CNNs are also proposed for image denoising [149] and image restoration [150]. For example, to exploit the native non-local self-similarity property of natural images, Lefkimmiatis proposes a CNN based network that uses an extra regularization term in the loss function [149]. The key idea is unfolding the proximal gradient method to construct a network graph, where each layer represents one proximal gradient iteration. In [150], Chen and Pock construct the trainable nonlinear reaction diffusion (TNRD) network based on the nonlinear reaction diffusion models for image restoration, which can be

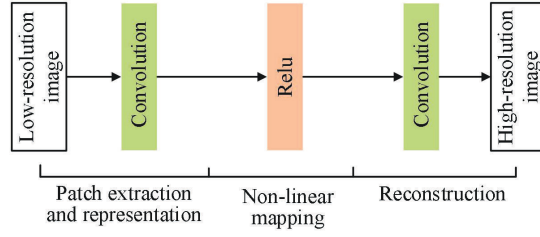
thought as a forward convolutional network. Besides, they add a reaction term to adapt to various image processing problems.

570 Multimodal DL [151] is another promising technique in solving image SR problems and drives plenty of structured CNNs. In multimodal DL for image SR, the input of the network is generally including a LR image and a HR image in a different modality. For example, Marivani et al. use LR near-infrared images and HR RGB images to super-resolve the HR near-infrared images  
575 [152, 153]. In [152], they design their learned multimodal convolutional sparse coding (LMCSC) model by unfolding the proximal method that used for solving the convolutional sparse coding with side information. In [153], they turn to solve the appropriate  $\ell_1 - \ell_1$  minimization problem for multimodal image SR and design their deep multimodal sparse coding network (DMSC) based on a deep  
580 unfolding FNN named learned side-information-driven iterative soft thresholding algorithm (LeSITA). To capture the cross-modality dependency, Deng and Dragotti design a special joint multi-modal dictionary learning (JMDL) algorithm, and unfolding it into a deep coupled ISTA network [154]. Especially, they use a layer-wise optimization algorithm (LOA) to solve the multi-layer dic-  
585 tionary learning problem for the network initialization. In addition to image SR, multimodal DL can also be used in image reconstruction [155, 156].

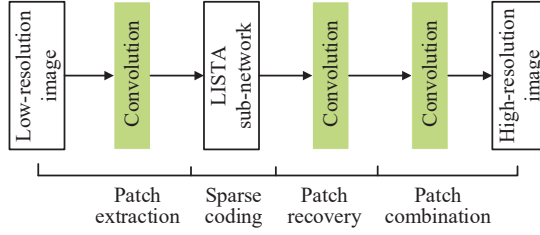
### 3.3. Recurrent NNs

Compared with FNNs and CNNs, RNNs are more appropriate in dealing with sequential inputs, such as the time-varying signal [157]. Thus, the RNN  
590 can be used to solve a sequence of correlated LIPs. Various RNNs for LIPs are summarized in Table 7.

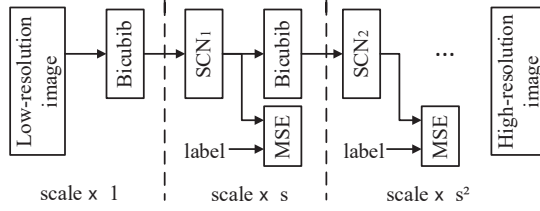
One of the examples is the sparse LIP, especially the structured sparse LIP. In [62], Xin et al. use an long short-term memory (LSTM) network as an adaptive variant of IHT to allow a longer flow of information to explore the structure  
595 of  $\mathbf{A}$  in a general sparse LIP. In MMV where the supports of each column are not totally consistent due to the noise or partly innovative sparse pattern in the source, Palangi et al. design an LSTM to capture the unknown dependency be-



(a) A sparse coding based CNN.



(b) The structure of the SCN.



(c) The structure of the CSCN.

Figure 8: Structured CNNs for image SR.

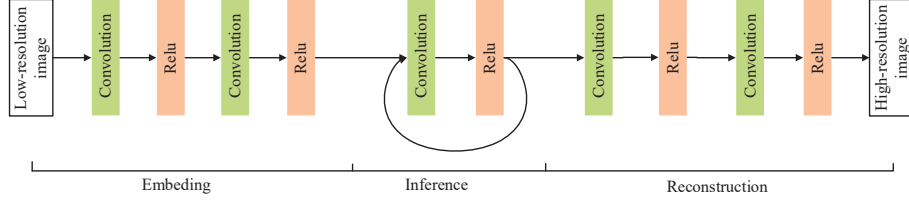
Table 7: Details of training some RNNs for LIPs.

Ref.	Application	Input	Output	Loss Function	Initialization	Learning Rate	Optimizer
[158]	MMV problems	The observed signal	The recovered signal	The quadratic error	Small random numbers	Not given	Not given
[159]	MMV problems	The observed signal	The recovered signal	Cross entropy	Small random numbers	Not given	Backpropagation through time and ADAM
[160]	Block-sparsity recovery	The sequence of residual vectors	One-hot vectors	Cross entropy	Not given	$3 \times 10^{-4}$	Nesterov accelerated gradient descent
[161]	Video SR	Multiple LR frames	Multiple HR frames	Mean squared error	Not given	Not given	Root Mean Square Propagation (RMSProp) [162]
[163]	Video SR	Multiple LR frames	Multiple HR frames	The L1 loss	Not given	$10^{-4}$	ADAM
[164]	Video SR	Multiple LR frames	Multiple HR frames	Mean squared error	Gaussian distribution	$10^{-4}$	SGD
[165]	Video SR	Multiple LR frames	Multiple HR frames	Mean squared error	Gaussian distribution	$10^{-4}$ to $10^{-5}$	RMSProp
[166]	Video SR	Multiple LR frames	Multiple HR frames	The L1 loss	Follows [83]	$10^{-4}$ (decreased by a factor of 10 for half of total 150 epochs)	ADAM
[167]	Image SR	LR image patch	HR image patch	Mean squared error	Follows [83]	$10^{-2}$ to $10^{-6}$ (decreased by a factor of 10 if the validation error does not decrease for 5 epochs)	SGD
[168]	Image SR	LR image patch	HR image patch	Mean squared error	Follows [83]	$10^{-1}$ (decreased by a factor of 10 every 10 epochs)	SGD
[169]	Video SR	Multiple LR frames	Multiple HR frames	The L1 loss for optical flow network and different loss functions for image-reconstruction network	Not given	$10^{-3}$ to $10^{-5}$ (Polynomial decay)	Not given
[170]	Image SR	LR image patch	HR image patch	Mean squared error	Initialized to 0.5	$10^{-3}$ for the weights in the output layer while $10^{-2}$ for other layers	SGD
[171]	Image Denoising	Noisy image patch	Clean image patch	Not given	Not given	$10^{-3}$ (decreased by 0.2 every 30 epochs)	ADAM
[172]	MRI image reconstruction	Undersampled image patch	Reconstructed image patch	Mean squared error	Follows [83]	Not given	ADAM
[173]	Image restoration	Undersampled image patch	Reconstructed image patch	Weighted sum of the individual mean squared error	Not given	Not given	Not given
[174]	Sparse Coding	The observed signal	The recovered signal	Mean squared error	Randomly	$10^{-4}$	RMSProp
[175]	Sequential signal reconstruction	The observed signal	The recovered signal	Mean squared error	Uniform distribution	$3 \times 10^{-4}$	ADAM
[176]	Sparse Coding	The observed signal	The recovered signal	The L1 loss for unsupervised SLTSM and softmax for supervised SLTSM	Not given	Not given	Adadelata [177]

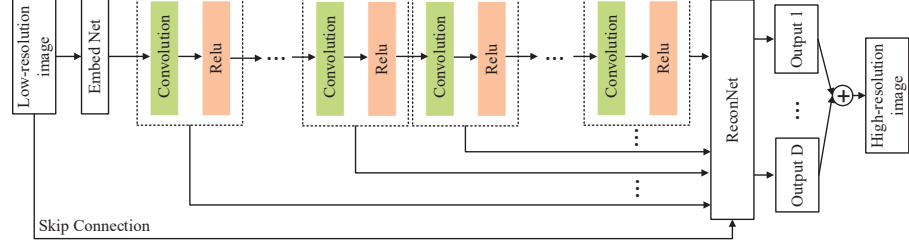
tween sparse vectors [158]. In [159], they further propose a bidirectional LSTM to solve the problem, which uses multiple adjacent predictions. In addition to  
600 the MMV problem, the LSTM is used to solve the block-sparsity recovery with unknown cluster patterns in [160].

Besides, the RNN can be used for video SR, where exists spatio-temporal information between multi frames. In [161], Li et al. propose a residual recurrent convolutional network (RRCN) for video SR, which integrates the motion  
605 compensation into the bidirectional residual convolutional network. However, Lim and Lee consider that the optical flow and motion compensation influence the overall performance, thus they discard this module and use the LSTM as a replacement [163]. Different from vanilla RNNs, Huang et al. design a new fully convolutional RNN that uses the weight-sharing convolutional connections  
610 to decrease the parameters and uses the 3D feedforward convolutions to capture the short-term fast-varying motions [164]. In [165], Li et al. use a very deep non-simultaneous fully recurrent convolutional layers to deal with the visual artifacts that came from fast-moving objects. They also use a new model ensemble strategy to combine their model and the single-image SR model. While the previous  
615 networks send the frames into networks together, the recurrent back-projection network (RBPN) proposed by Haris et al. treats them as separate sources [166].

The RNN can also be combined with other networks such as CNNs for single image SR and image denoising. For example, Kim et al. incorporate the RNN into a basic CNN for image SR [167]. Compared with the SRCNN, the  
620 proposed deeply-recursive convolutional network (DRCN) (Fig. 9(a)) has fewer parameters and larger receptive field, which leads to improved quality of image details. However, due to the issue of the exploding/vanishing gradient, this network encounters difficulty in the training with the SGD algorithm. To tackle this problem, they propose the recursive-supervision that uses local outputs of  
625 recursions to reconstruct the HR image, together with the skip-connection that transmits the input LR image to the reconstruction layer (Fig. 9(b)). While bicubic interpolation introduces serious visual artifacts under high SR factor, Yang et al. propose a deep recurrent fusion network (DRFN) for single image



(a) The structure of DRCN, which consists a embedding network, an inference network and a reconstruction network.



(b) The final model of DRCN with recursive-supervision and skip connection. The reconstruction network is shared for recursive predictions.

Figure 9: The network structure of deeply-recursive convolutional network.

SR [168]. In DRFN, they use the transposed convolution as the upsampling  
630 layer and combine different-level features to reconstruct high-quality images. A  
similar method can also be found in [169], where Wang et al. use convolutional  
LSTM (ConvLSTM) in the residual block to form their multi-memory CNN  
(MMCNN) for video SR. In [170], Wang et al. propose a bidirectional recurrent  
convolutional NN named LFNet for light-field image SR, which uses an implicitly  
635 multi-scale fusion to utilize the spatial relations in light-field images. For image  
denoising, considering that the feature fusion of common CNNs is coarse, Wang  
et al. use the gated recurrent unit (GRU) to select and combine the features of  
different layers [171].

For MRI image reconstruction, Qin et al. use a convolutional RNN to ex-  
640 plore the dependencies of the temporal sequences [172]. In addition, they also  
combine the network to the traditional optimization algorithms, which form the  
structured RNN. In [173], Putzky and Welling propose the recurrent inference  
machines (RIM) for image restoration, which is the unrolling of the inference  
algorithm. Yang et al. further use the RIM in accelerated photoacoustic to-  
645 mography (PAT) reconstruction [178], where the forward operator  $\mathbf{A}$  is used in  
the training process.



Structured RNNs are also common when solving sparse LIPs. Similar to structured FNNs, the structured RNNs get inspiration from the traditional iterative algorithms, such as ISTA. Intuitively, the RNN can be used to deal with a sequence of correlated observations in sparse LIPs. For example, in [174], Wisdom et al. solve the sequential sparse LIP with a structured RNN which is inspired by the sequential ISTA. Different from the generic stacked RNN, the input of the proposed SISTA-RNN is connected to every iteration layer. In [175], Le et al. design their RNNs for sequential sparse LIP by unfolding the proximal gradient method that aims to solve the  $\ell_1 - \ell_1$  minimization problem. Compared with the stacked RNN, the designed  $\ell_1 - \ell_1$ -RNN has additional connections between the layers.

In addition, in sparse LIPs, the support of the nonzero elements can be thought as a sequence, and it has been proved that the known part of supports can be used to speed up the convergence. While LISTA uses a fixed learning rate to learn the parameters, Zhou et al. adds an adaptive momentum vector to the network and design their adaptive ISTA [176]. They further improve the efficiency of adaptive ISTA by reforming it as an RNN, which can be thought as a variant of the famous LSTM. In addition to the simple, one-step iterative algorithms such as the ISTA, in [179], He et al. resemble the complex, multi-loop, majorization-minimization algorithm sparse Bayesian learning (SPL) to an RNN. The proposed network exhibits significantly improved performance in comparison to existing structured FNNs. This method can be applied to many applications including Direction-of-Arrival estimation and 3D photometric stereo recovery.

### 3.4. Autoencoders

AEs are self-supervised feedforward NNs that are usually used for dimension reduction and feature learning [180, 181]. An AE consists of an encoder and a decoder, which learns efficient data coding. The AE aims to learn the useful properties of the data, rather than reproduce the input at the output. Different variants of the basic AE are proposed to force the learning of the useful prop-

Table 8: Details of training some AEs for LIPs.

Ref.	Application	Input	Output	Loss Function	Initialization	Learning Rate	Optimizer
[189]	Image Denoising	Overlapping patches	Clean patches	The quadratic error with sparsity regularization	Pre-trained stacked denoising auto-encoder	Not given	Quasi-Newton [190]
[191]	Image Denoising	Overlapping patches	Clean patches	The quadratic error with sparsity regularization	Pre-trained SSDAs	Not given	Quasi-Newton
[192]	Image Denoising	Overlapping patches	Clean patches	The quadratic error	Pre-trained single-layer SSDAs	$10^{-1}$	SGD
[193]	Image Denoising	Overlapping patches	Clean patches	The quadratic error with KL penalty	Pre-trained multi-layer SDA	$10^{-1}$	Not given
[194]	Image Denoising	Resized images	Clean images	Not given	Not given	Not given	Not given
[195]	Image SR	LR image patch	HR image patch	Mean squared error	Intrinsic representations	Not given	Gradient-based methods
[196]	Image SR	LR image patch	HR image patch	Mean squared error with sparsity constraint	Not given	Not given	Not given
[197]	Image reconstruction	Compressively sampled measurement	Reconstructed images	Euclidean cost function	Not given	Not given	Not given
[198]	Sparse coding	Compressed digits data	Reconstructed digits data	The reconstruction loss and SSIM loss [199]	Same random values	Not given	ADAM
[200]	Sparse coding	Compressed digits data	Reconstructed digits data	The L2 loss	Not given	Not given	Not given

erties of features, such as the regularized AEs and the sparse AEs. AEs have been used in denoising [182, 183], modulation classification in communication systems [184, 185] and image classification [186, 187, 188]. Various AEs for LIPs  
680 are summarized in Table 8.

The denoising AE (DAE) is the most commonly used AE in solving inverse problems, which is firstly proposed in [201] to obtain robust features. The DAE tries to reconstruct the signal from its noisy input. In [189], Xie et al. propose the stacked sparse denoising AE (SSDA) for image denoising and blind  
685 inpainting, which stacks multiple DAEs and forces parameters to be sparse by employing sparsity regularization. In the training phase, Xie et al. initialize the SSDA with stacked DAs, where each DA is trained one by one, and the input of the successor DA is the output of the predecessor DA rather than the original noisy image. To improve the robustness of the SSDA, Agostinelli  
690 et al. propose the adaptive multi-column SSDA (AMC-SSDA), where several SSDAs are learned under different noise levels, and a weight prediction module is learned to combine the results of all SSDAs with different weights [191]. While the sparsity regularizer in [189] is not computationally efficient for DAEs with

multiple hidden layers, Cho improves the performance of the network by forcing  
 695 the output of the encoder to be sparse [192]. The proposed DAE performs well  
 even without sparsity regularization and does not use any prior information  
 about the noise. To enhance the robustness of AE to hybrid noises, Ye et al.  
 add the KL penalty to the loss function, which brings the average activation  
 of the hidden layer close to zero [193]. In addition to fully connected AEs,  
 700 convolutional layers can also be used for AEs. In [194], Gondara uses a DAE  
 constructed using convolutional layers for medical image denoising. However,  
 the previous work in [189, 191, 192, 193, 194] is inductive. In [182], the AE is  
 further extended for blind image denoising.

The AE can also be used in image SR and reconstruction. In [195], Zeng et  
 705 al. develop a coupled deep AE (CDA) for single image SR. The CDA contains  
 three parts, two AEs which extract the hidden representations of LR/HR image  
 patches respectively, and a hidden layer which learns the mapping between the  
 two representations. The training process of CDA contains the training of three  
 parts and fine-tuning of the entire network. Considering the problem that the  
 710 inconsistency between the sparse coefficients of the LR image and HR image  
 influences the SR results, Shao et al. propose coupled sparse AE (CSAE) to  
 learn the mapping between the sparse coefficients of the LR image and HR  
 image [196]. The proposed CSAE is used for the spatial resolution of remote  
 sensing images. For image reconstruction, Mehta et al. propose to use AE for  
 715 CS-based medical image reconstruction to cut off the time for reconstruction  
 [202]. Instead of using the Euclidean norm as a cost function, Mehta et al.  
 use a robust  $\ell_1$  norm. Similar to the work in [202], Gupta and Bhowmick also  
 consider the time-consuming problem in real-time image reconstruction [197].  
 They propose Coupled AE (CAE) to learn the mapping from the measurements  
 720 to the representation of the target images.

Besides, AEs are also popular in sparse coding. In [203], Barelo et al.  
 design the sparse coding variational AE (SVAE), which is neurally plausible  
 to calculate the neural response of an image patch. To solve the computation  
 problem when using LISTA for convolutional sparse coding, Sreter and Giryes

Table 9: Details of training some GANs for LIPs.

Ref.	Application	Input	Output	Loss Function	Initialization	Learning Rate	Optimizer
[205]	Image Denoising	Noisy image patch	Clean image patch	Mean squared error	Not given	$10^{-3}$	SGD
[206]	Sparse signal denoising	Noisy sample	Denoised sample	Mean squared error and cross-entropy loss	Not given	Not given	ADAM
[207]	Image Denoising	Noisy image patch	Clean image patch	Wasserstein distance and the perceptual loss	Pre-trained deep CNN	Not given	SGD
[208]	Image Denoising	Noisy image patch	Clean image patch	Pixel loss, feature loss, smooth loss and adversarial loss	Not given	Not given	Not given
[209]	Image Denoising	Noisy image patch	Clean image patch	Least squares loss, global loss and detail loss	Not given	$2 \times 10^{-4}$	ADAM
[210]	Image Denoising	Noisy image patch	Clean image patch	Squared error and cross-entropy loss	Normal distribution	$2 \times 10^{-4}$	ADAM
[211]	Image SR	LR image patch	HR image patch	Adversarial loss and pixel-wise loss	Randomly	Decreased by 0.5 every 10 epochs	Not given
[212]	Joint denoising and SR	Noisy LR subimage	HR subimage	Adversarial loss, mean squared error and VGG based loss	Randomly	$10^{-4}$	Not given
[213]	Image SR	LR CT image patch	HR CT image patch	Adversarial loss, cycle consistency loss, identity loss and joint sparsifying transform loss	Not given	Not given	Not given
[214]	Image SR	LR image patch	HR image patch	Weighted sum of a content loss and an adversarial loss	Trained MSE-based SRResNet network	$10^{-4}$ to $10^{-5}$	ADAM
[215]	video SR	LR image patch	HR image patch	Perceptual loss (weighted sum of a content loss and an adversarial loss)	Not given	Not given	Not given
[216]	Image SR	LR image patch	HR image patch	The sum of a pixel-wise loss and an adversarial loss	Follows [83]	$2 \times 10^{-4}$	ADAM

725 propose the convolutional LISTA, which serves as the sparse encoder in an AE [198]. Based on the sparse coding, Jalali and Yuan analyze the performance of AEs for such recovery problems, and proposed a projected gradient descent based algorithm [200].

In addition to the common AEs, AEs can also benefit from the deep unfolding 730 method. In [204], Sprechmann et al. unfold proximal descent algorithms, and then learn the pursuit processes to solve the low-rank models, including the RPCA and non-negative matrix factorization.

### 3.5. Generative Adversarial Networks

The GAN is originally proposed as a form of the generative model for unsupervised learning, which can also be used for applications involving LIPs. 735 Various GANs for LIPs are summarized in Table 9.

The main motivation for using GANs for denoising is that GANs can better preserve high-frequency components and image details, while CNNs can easily over-smooth the edges of the image. For image denoising, the generator network 740 is expected to generate the denoised signal, and the discriminator network is

used to distinguish the denoised output from the ground truth, which provides  
 provide feedback for the training of the generator network. The application of  
 GANs in denoising could be diverse. For example, Chen et al. proposed a GAN-  
 CNN based blind denoiser, where the generator network is used to estimate the  
 745 distribution of noisy images and generate paired training data for the training of  
 denoising CNN [205]. The network structure of the generator and discriminator  
 can be inspired by various FNNs or CNNs, such as LISTA-GAN [206], VGG-  
 GAN [207] and ResNet-GAN [208] or special designed [209].

Another main innovation lies in the design of various loss functions. Wolterink  
 750 et al. find that the network trained with voxel-wise loss has a higher peak signal-  
 to-noise ratio, while the network trained with adversarial loss better captures  
 image statistics [210]. In [207], Yang et al. add the Wasserstein distance and  
 perceptual loss to GANs. The Wasserstein distance, which comes from the  
 optimal transport theory, is used as the discrepancy measure to improve the  
 755 performance of GANs. The perceptual loss, which calculates the discrepancy  
 between images in an established feature space, is used to suppress noise. Al-  
 saiari et al. use the weighted sum of pixel-to-pixel Euclidean loss, feature loss,  
 smooth loss and adversarial loss [208], while Li and Xiao use the combination  
 of the denoising loss and reconstruction loss. In Fig. 10, we compare the per-  
 760 formance of different loss functions under the same training set and the same  
 network structure. The adversarial loss adapts the binary cross-entropy that  
 comes from the discriminator, and helps to generate images that can deceive  
 the discriminator. It is found that the network that trained with the adversar-  
 ial loss is hard to convergence and the generated image has higher noise levels.  
 765 The pixel loss calculates the pixel-to-pixel Euclidean distance between the out-  
 put and the clean image, and is helpful for correctly filling the noise of the color.  
 However, the network trained with the pixel loss leads to a smooth image. The  
 feature loss, which depends on the features extracted from the convolutional  
 layer, helps to extract features accurately. Thus, the network trained with the  
 770 adversarial loss, pixel loss and style loss has the best visual quality.

GANs have also been employed for image SR, which leads to different inno-



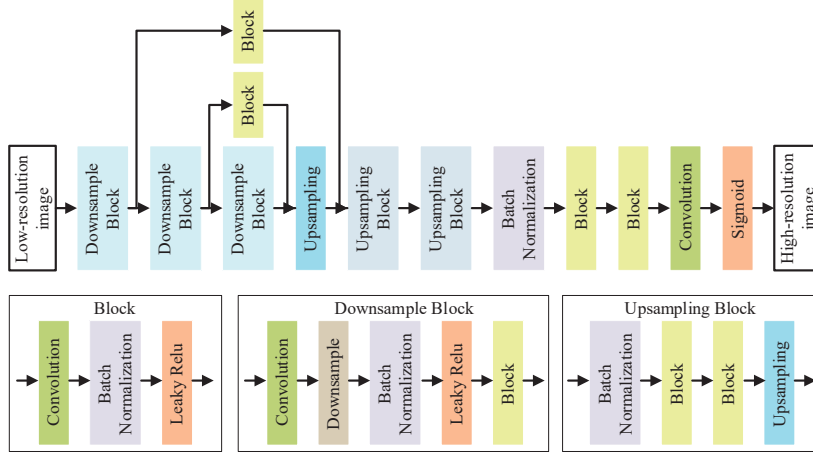
Figure 10: The denoising results with different loss functions. (a)noisy image, (b)denoised image with the adversarial loss (c)denoised image with the adversarial loss and pixel loss, (d)denoised image with the adversarial loss, pixel loss and feature loss.

vative designs. A common problem is that the LR images may contain noise, such as the speckle and smudge in synthetic aperture radar images [211]. The general method is performing image denoising to LR images firstly, and then  
 775 reconstructing the HR images. The denoising and DR can be performed with a joint generator network [211, 212] or two generator networks [213]. Compared with image denoising, the network structure of generator networks for image SR is more diverse. In Fig. 11, we show several novel network structures in GANs for image SR, including an hourglass CNN model [217], a Cycle-in-Cycle  
 780 network [218, 219] and a dense block network [220].

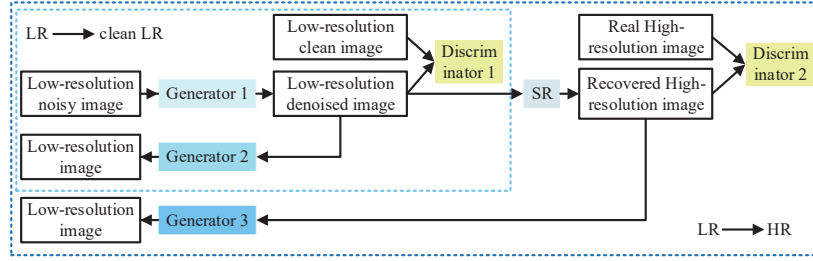
Besides, the innovations in loss function also exists in image SR for finer texture details, and most loss function is a weighted sum of several losses. The losses can be classed into adversarial loss, the pixel-based loss and feature map based loss. For example, Ledig et al. use an adversarial loss and a content loss  
 785 [214], while Chen et al. use an MSE loss, the generative loss and the VGG loss [212]. Other loss functions contain the sum of the perceptual loss, MSE-based content loss, and an adversarial loss, which is used [215] by Gopan and Kumar, the sum of the pixel-wise loss and adversarial loss used in [216] by Jiang et al. and the sum of joint sparsifying transform loss and supervision loss in [213] by  
 790 You et al..

#### 4. Challenges and Future Research Directions

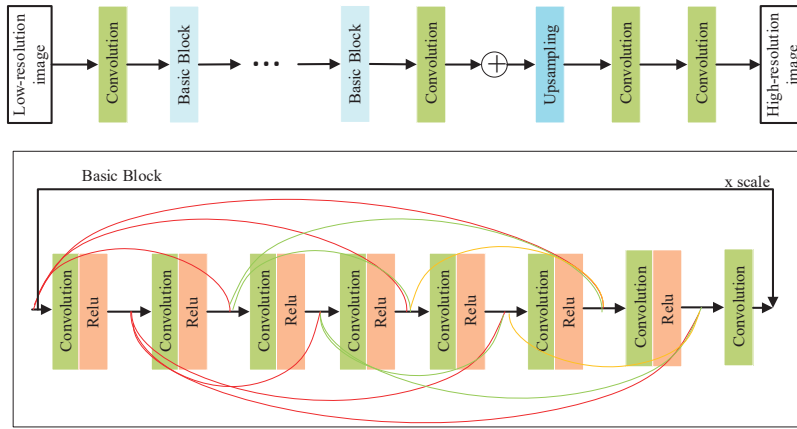
In the previous section, we explore several research directions and paradigms on using DL to solve LIPs. It has been observed that DL has brought breakthroughs in many applications. However, there are still many open challenges  
 795 that require further investigation. In this section, we discuss several potential



(a) An hourglass CNN model [217].



(b) A Cycle-in-Cycle network [218, 219].



(c) A dense block network.

Figure 11: The structure of generator networks of GANs for image SR.

future research directions in using DL to solve LIPs.

#### 4.1. Constructing Training Datasets

In solving LIPs, the performance of DL based methods greatly relies on the data (the input and the label) seen during training, which reflects the functional  
800 relationship between model parameters  $\mathbf{m}$  and the observed data  $\mathbf{d}$ . However, just as imperfect mathematical modeling of complex scenarios in traditional methods leads to the model error, imperfect training data in DL methods also leads to the recovery error.

The recovery error caused by the training data may come from the gener-  
805 ating process of the training data. In practical scenarios that we do not have access to the real  $\mathbf{m}$ , a popular method is to artificially generate the training data. However, the artificially generated data may have a distribution that differs from the distribution of the real  $\mathbf{m}$ . For example, in the sparse LIP, the sparse data  $\mathbf{m}$  may contain different sparsity and sparse patterns. In cases  
810 that we cannot get the general data  $\mathbf{m}$ , we may resort to the traditional algorithm, e.g., in the LISTA, the sparse data  $\mathbf{m}$  is generated from the traditional CoD algorithm [59]. However, the traditional algorithms may converge to the non-optimal solution, thus results in errors in the training data. Therefore, a potential research direction is to study the errors contained in the training data  
815 and the methods to reduce or even eliminate the recovery error caused by the training data.

The recovery error may also result from the mismatch between the training data and the test data. For example, in image denoising, the mismatch of the noise distributions between the training data and the testing data leads to the  
820 performance degeneration [60]. In [191], Agostinelli et al. solve this problem by connecting the networks that are trained under different noise distributions in parallel according to the learned weight. However, such methods increase the model complex and lead to heavy computation. A more straightforward solution is to increase the diversity of training data. In [108], Zhang et al. construct  
825 their training data set with different noise distributions and train a single NN to



deal with multiple noise distributions. However, this method is not suitable for training time-limited scenarios, since it is impossible to include all the possible  $\mathbf{m}$  in a limited training data.

#### 4.2. Incorporating the Prior Knowledge for Structured Network Designs

830 The process of using the DL based method to solve the LIP can be seen as choosing an optimal function from a class of functions defined by a NN for the mapping relationship between model parameters  $\mathbf{m}$  and the observed data  $\mathbf{d}$ . By carefully designing the network architecture, we are designing a class of functions that are closer to the mapping relationship, which helps for  
835 faster convergence and optimal solution. However, the design of the network architecture still lacks theoretical support and thus is intractable. Thus, more theoretical explorations are needed.

In LIPs, there usually exists prior knowledge about model parameters, e.g., their spatial distribution or mutual dependence. We expect to gain further  
840 improvement in convergence speed and performance of the network by incorporating the prior knowledge into network designs. The structured networks also have profits in other aspects. For example, by limiting the weight sharing between network layers, the LISTA has fewer parameters than the common NN, thus the LISTA is less likely to be over-fitting [59]. A popular method is to  
845 design networks based on the unfolding of iterative algorithms [59, 64]. Since the traditional iterative algorithms have calculated an estimation of the LIP, the time-unfolded network can directly obtain a sub-optimal solution without training. Thus, such a structured network can obtain a better solution than the iterative algorithm after training, and needs less training data and time to  
850 obtain the same performance compared with the common network. However, the unfolding based networks may also converge to a local optimal under the misleading of the iterative algorithm. Therefore, a potential research direction is to investigate the theoretical bound that a structured network can achieve for a specific inverse problem, such as the maximum convergence speed and  
855 the highest accuracy. Further research also needs to be done on the design of

structured networks that could achieve performance closed to the theoretical bound, in addition to the unfolding based methods. Another potential research direction is the tradeoff between the convergence speed and accuracy. For example, in [62], Xin et al. demonstrate that an FNN with independent weights  
860 has better estimation accuracy along with the decrease in convergence speed in cases where the linear operator  $\mathbf{A}$  has coherent columns.

#### 4.3. Dealing With High Dimensional Data

Modern inverse problems are increasingly involving high dimensional data such as tensors [221, 222, 223, 224], which usually refer to inter-dimension cor-  
865 relations [225]. However, at present, most of the DL based methods for solving LIPs are performed on low-dimensional data, e.g., vectors and matrixes. A method that using existing models to process high dimensional data is to decrease the dimension of the input data firstly. For example, flattening the three-dimensional tensor into a two-dimensional matrix. However, the dimensionality  
870 reduction process is usually accompanied by the loss of the inter-dimension correlations information. A potential solution is to design special networks for high dimensional data processing. For example, The 3-D convolution can be used to explore the spatial and spectral characters of hyperspectral image [226, 105]. Another popular method for high dimensional tensor processing is deep tensor  
875 factorization (DTF), which considers the temporal or spatial information. The DTF can extract hierarchical and meaningful features of multi-channel images such as hyperspectral images, thus is popular in image classification and pattern classification [227, 228, 229]. DTF can also be used in recommender systems [230], scene decomposition [231], and fault diagnosis [232].

880 Another problem is that processing the high dimensional data needs a larger and deeper network, which means the rapid increase in the number of network parameters and the surge in the demand of hardware with high computational capability. However, DL heavily relies on high-parallel computation of GPUs for training, while GPUs have limited memory, which makes DL based methods  
885 encounter computational difficulties when processing high dimensional data. A

possible solution is the distributed DL, such as the model parallelism and the data parallelism. In the model parallelism, the whole network is partitioned into small components and then trained in different machines. In the data parallelism, different machines have a complete copy of the entire model and limited training data, then the complete model is calculated by some methods. The model parallelism and the data parallelism can be combined to achieve training acceleration [233]. Besides, there are several methods to train the distributed NNs, and each method exists many variants [234, 235, 236, 237]. One of the potential research directions is the maximum accuracy that the distributed DL can get with the specific training algorithm under given conditions such as limited training time or limited training data. Besides, we could also consider the tradeoff between model accuracy and runtime [238].

#### 4.4. Designing Light and Efficient Architectures

In general, the DL based methods with more complex networks have better accuracy. However, complex models usually involve a great number of parameters, which increases the difficulty in training and limits its usage in computing resource-constrained applications. Therefore, an important research direction is the design of light and efficient network architectures, which helps to effectively apply the DL models to various hardware platforms [239, 240, 241, 242].

A carefully designed network architecture can effectively reduce the redundancy and computation of the DL models, thus speed up the solving process without sacrificing reconstruction accuracy. Representative work include the SqueezeNet [243] and the MobileNet [240]. Another method is compressing an existing network to decrease the number of parameters and the required computation resource, under the guarantee of reconstruction accuracy [244, 245, 246, 247, 248]. For example, the model cutting method compresses the model by cutting unimportant connections of a trained model according to some effective evaluations [249]. The network quantization method cuts the redundancy of the data by reducing the length of the code and the number of bits, according to the data distribution in the trained model [250]. Another efficient

method is network binarization, where the original floating-point weights are forced to be  $+1$  and  $-1$ . For a specific LIP, it remains a challenge to choose a suitable method to balance the accuracy and computation speed.

Future AI-driven automation will bring about a step-change in their ability  
920 to create efficient, resilient, and also user-centric services. However, the very same algorithms may also cause irreversible environmental damage due to their high energy consumption and lead to serious global sustainability issues. To achieve UN sustainable development goals in the context of lightweight and green AI, we need to reduce the computation and energy consumption.

925 Model compression approaches for reducing the sizes of DNN target operations and data access overhead in both training and inference of the DNN. This is highly related to the numbers of neurons and the associated weights in it. Due to the lack of theoretical results on the optimal DNN architecture<sup>1</sup>. Previous studies have revealed that NNs are typically over-parameterized, and there  
930 is significant redundancy that can be exploited [251]. Therefore, it is possible to achieve similar function approximation performance by removing redundant network architecture (e.g. pruning the network) and only retaining useful parts with greatly reduced model size. The second method is architectural innovations, such as replacing fully-connected layers with convolutional layers that  
935 are relatively more compact. Another method is weight quantization. Already, some of the aforementioned DNN compression practices have emerged in recent mobile DL applications.

#### 4.5. Solving LIPs in Practical Applications

In practical applications, there is an irreconcilable contradiction between the  
940 limited training data and training time, and infinite real data and various application scenarios. Although the DL method succeeds in specific scenarios, it takes a very high cost in training different DL models for different application scenarios. Thus, the research on the generalization of the DL models is impor-

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<sup>1</sup>Neuroevolution DL does offer a numerical pathway to finding optimal architectures

tant and essential, which affects the accuracy of models when applied to new  
945 data in practical applications [252, 253].

Most existing methods attribute the poor generalization ability of DL models  
to the memory of the training data by the NN. Therefore, various regularization  
methods are adopted to increase the generalization of DL models, including ex-  
plicit regularization on parameters (e.g., L1 regularization), empirically-based  
950 regularization (e.g., early stopping [254] and dropout [255]), and implicit reg-  
ularization (e.g., data augmentation [256]). In [257], Zhang et al. explore the  
role of regularization in DL models. They demonstrate that the regularization  
methods are effective but not necessary for the improvement of the generaliza-  
tion ability. Therefore, a potential research direction is other methods that can  
955 improve the generalization of DL models. In addition, the interpretation of the  
generalization ability of the NN is also promising, which can provide theoretical  
support and guide for the design of robust models [258].

#### 4.6. Solving Nonlinear Inverse Problems with DL

While this article focuses on the applications of DL in solving LIPs, there  
960 also exist several works in using DL to solve various nonlinear inverse problems,  
especially in the CS problems with quantized measurements [259]. For example,  
in [260], Takabe et al. propose a complex-field trainable ISTA (C-TISTA) based  
on the concept of deep unfolding, which aims to solve the complex-field nonlinear  
inverse problems. In C-TISTA, they use a trainable shrinkage function to utilize  
965 various prior information such as sparsity. While Mahabadi et al. try to learn  
the sampling process of the quantized CS [261], Leinonen and Codreanu directly  
jointly optimize the whole sampling and recovery process with an encoder and  
decoder via NNs [262]. A similar method for joint optimization of measurement  
and recovery in can quantized CS also be found in [263], where the NN consists  
970 a binary measurement matrix, a non-uniform quantizer, and a non-iterative  
recovery solver. Considering the high computing and expressive power, the use  
of NNs in nonlinear inverse problems is a promising direction.

## 5. Conclusion

In this paper, we present a comprehensive survey of the recent achievements of using DL to solve LIPs. We summarize the use of various DL architectures, optimization algorithms, loss functions and tricks in solving LIPs. For LIPs with structured information, we present how that structured information is used in the design of various intricate structured DL models. Our hope is that this article can provide guidance for designing NNs for various LIPs. In addition to the recent progresses, there are still many open challenges and promising future directions including the construction of training datasets, the design of structured networks, the techniques for high dimensional data processing in NNs, the design of light and efficient network architectures, and the problems in practical applications.

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# Deep learning methods for solving linear inverse problems: Research directions and paradigms

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